

VARDHAMANGLOBAL SHARECOM PRIVATE LIMITED-STOCK BROKER (NSE, BSE)

| DATA FOR THE MONTH ENDING NOV 2022 | | | | | | | | |
|------------------------------------|-------------------------|-------------------------------------|---------------------------|---------------|----------|---------------------------------|--------------------------------|--------------------------------------|
| SERIAL NO. | RECEIVED FROM | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED DURING THE MONTH | TOTAL PENDING | RESOLVED | PENDING AT THE END OF THE MONTH | | AVERAGE RESOLUTION ON TIME (IN DAYS) |
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | DIRECTLY FROM INVESTORS | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 3 | STOCK EXCHANGES | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 4 | OTHER SOURCES (IF ANY) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| | GRAND TOTAL | 0 | 0 | 0 | 0 | 0 | 0 | NA |

| TREND OF MONTHLY DISPOSAL OF COMPLAINTS | | | | | |
|---|--------------------|-------------------------------------|----------|----------|----------|
| SERIAL NO. | MONTH | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED | RESOLVED | PENDING |
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | Dec-21 | 0 | 0 | 0 | 0 |
| 2 | Jan-22 | 0 | 0 | 0 | 0 |
| 3 | Feb-22 | 0 | 0 | 0 | 0 |
| 4 | Mar-22 | 0 | 0 | 0 | 0 |
| 5 | Apr-22 | 0 | 0 | 0 | 0 |
| 6 | May-22 | 0 | 0 | 0 | 0 |
| 7 | Jun-22 | 0 | 0 | 0 | 0 |
| 8 | Jul-22 | 0 | 0 | 0 | 0 |
| 9 | Aug-22 | 0 | 0 | 0 | 0 |
| 10 | Sep-22 | 0 | 0 | 0 | 0 |
| 11 | Oct-22 | 0 | 0 | 0 | 0 |
| 12 | Nov-22 | 0 | 0 | 0 | 0 |
| | GRAND TOTAL | 0 | 0 | 0 | 0 |

| TREND OF ANNUAL DISPOSAL OF COMPLAINTS | | | | | |
|--|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| SERIAL NO. | YEAR | CARRIED FORWARD FROM PREVIOUS YEAR | RECEIVED DURING THE YEAR | RESOLVED DURING THE YEAR | PENDING AT THE END OF THE YEAR |
| 1 | 2017-2018 | 0 | 0 | 0 | 0 |
| 2 | 2018-2019 | 0 | 0 | 0 | 0 |
| 3 | 2019-2020 | 0 | 0 | 0 | 0 |
| 4 | 2020-2021 | 0 | 0 | 0 | 0 |
| 5 | 2021-2022 | 0 | 0 | 0 | 0 |
| | GRAND TOTAL | 0 | 0 | 0 | 0 |

VARDHAMANGLOBAL SHARECOM PRIVATE LIMITED-DEPOSITORY PARTICIPANTS (CDSL)

| DATA FOR THE MONTH ENDING NOV 2022 | | | | | | | | |
|------------------------------------|--------------------------------|-------------------------------------|---------------------------|---------------|----------|--------------------------------|--------------------------------|--------------------------------------|
| SERIAL NO. | RECEIVED FROM | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED DURING THE MONTH | TOTAL PENDING | RESOLVED | PENDING AT THE END OF | | AVERAGE RESOLUTION ON TIME (IN DAYS) |
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | DIRECTLY FROM INVESTORS | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 3 | DEPOSITORY PARTICIPANTS | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 4 | OTHER SOURCES (IF ANY) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| | GRAND TOTAL | 0 | 0 | 0 | 0 | 0 | 0 | NA |

| TREND OF MONTHLY DISPOSAL OF COMPLAINTS | | | | | |
|---|--------------------|-------------------------------------|----------|----------|----------|
| SERIAL NO. | MONTH | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED | RESOLVED | PENDING |
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | Dec-21 | 0 | 0 | 0 | 0 |
| 2 | Jan-22 | 0 | 0 | 0 | 0 |
| 3 | Feb-22 | 0 | 0 | 0 | 0 |
| 4 | Mar-22 | 0 | 0 | 0 | 0 |
| 5 | Apr-22 | 0 | 0 | 0 | 0 |
| 6 | May-22 | 0 | 0 | 0 | 0 |
| 7 | Jun-22 | 0 | 0 | 0 | 0 |
| 8 | Jul-22 | 0 | 0 | 0 | 0 |
| 9 | Aug-22 | 0 | 0 | 0 | 0 |
| 10 | Sep-22 | 0 | 0 | 0 | 0 |
| 11 | Oct-22 | 0 | 0 | 0 | 0 |
| 12 | Nov-22 | 0 | 0 | 0 | 0 |
| | GRAND TOTAL | 0 | 0 | 0 | 0 |

| TREND OF ANNUAL DISPOSAL OF COMPLAINTS | | | | | |
|--|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| SERIAL NO. | YEAR | CARRIED FORWARD FROM PREVIOUS YEAR | RECEIVED DURING THE YEAR | RESOLVED DURING THE YEAR | PENDING AT THE END OF THE YEAR |
| 1 | 2017-2018 | 0 | 0 | 0 | 0 |
| 2 | 2018-2019 | 0 | 0 | 0 | 0 |
| 3 | 2019-2020 | 0 | 0 | 0 | 0 |
| 4 | 2020-2021 | 0 | 0 | 0 | 0 |
| 5 | 2021-2022 | 0 | 0 | 0 | 0 |
| | GRAND TOTAL | 0 | 0 | 0 | 0 |