

**VARDHAMANGLOBAL SHARECOM PRIVATE LIMITED-STOCK BROKER (NSE, BSE, MCX)**

| DATA FOR THE MONTH ENDING MARCH 2023 |                         |                                     |                           |               |          |                                 |                                |                                      |
|--------------------------------------|-------------------------|-------------------------------------|---------------------------|---------------|----------|---------------------------------|--------------------------------|--------------------------------------|
| SERIAL NO.                           | RECEIVED FROM           | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED DURING THE MONTH | TOTAL PENDING | RESOLVED | PENDING AT THE END OF THE MONTH |                                | AVERAGE RESOLUTION ON TIME (IN DAYS) |
|                                      |                         |                                     |                           |               |          | Pending for less than 3 months  | Pending for more than 3 months |                                      |
| 1                                    | 2                       | 3                                   | 4                         | 5             | 6        | 7                               |                                | 8                                    |
| 1                                    | DIRECTLY FROM INVESTORS | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | NA                                   |
| 2                                    | SEBI (SCORES)           | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | NA                                   |
| 3                                    | STOCK EXCHANGES         | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | NA                                   |
| 4                                    | OTHER SOURCES (IF ANY)  | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | NA                                   |
|                                      | <b>GRAND TOTAL</b>      | <b>0</b>                            | <b>0</b>                  | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>NA</b>                            |

| TREND OF MONTHLY DISPOSAL OF COMPLAINTS |                    |                                     |          |          |          |
|---|--------------------|-------------------------------------|----------|----------|----------|
| SERIAL NO.                              | MONTH              | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED | RESOLVED | PENDING  |
| 1                                       | 2                  | 3                                   | 4        | 5        | 6        |
| 1                                       | Apr-22             | 0                                   | 0        | 0        | 0        |
| 2                                       | May-22             | 0                                   | 0        | 0        | 0        |
| 3                                       | Jun-22             | 0                                   | 0        | 0        | 0        |
| 4                                       | Jul-22             | 0                                   | 0        | 0        | 0        |
| 5                                       | Aug-22             | 0                                   | 0        | 0        | 0        |
| 6                                       | Sep-22             | 0                                   | 0        | 0        | 0        |
| 7                                       | Oct-22             | 0                                   | 0        | 0        | 0        |
| 8                                       | Nov-22             | 0                                   | 0        | 0        | 0        |
| 9                                       | Dec-22             | 0                                   | 0        | 0        | 0        |
| 10                                      | Jan-23             | 0                                   | 0        | 0        | 0        |
| 11                                      | Feb-23             | 0                                   | 0        | 0        | 0        |
| 12                                      | Mar-23             | 0                                   | 0        | 0        | 0        |
|   | <b>GRAND TOTAL</b> | <b>0</b>                            | <b>0</b> | <b>0</b> | <b>0</b> |

| TREND OF ANNUAL DISPOSAL OF COMPLAINTS |                    |                                    |                          |                          |                                |
|--|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| SERIAL NO.                             | YEAR               | CARRIED FORWARD FROM PREVIOUS YEAR | RECEIVED DURING THE YEAR | RESOLVED DURING THE YEAR | PENDING AT THE END OF THE YEAR |
| 1                                      | 2018-2019          | 0                                  | 0                        | 0                        | 0                              |
| 2                                      | 2019-2020          | 0                                  | 0                        | 0                        | 0                              |
| 3                                      | 2020-2021          | 0                                  | 0                        | 0                        | 0                              |
| 4                                      | 2021-2022          | 0                                  | 0                        | 0                        | 0                              |
| 5                                      | 2022-2023          | 0                                  | 0                        | 0                        | 0                              |
|  | <b>GRAND TOTAL</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |

**VARDHAMANGLOBAL SHARECOM PRIVATE LIMITED-DEPOSITORY PARTICIPANTS (CDSL)**

| DATA FOR THE MONTH ENDING MARCH 2023 |                         |                                     |                           |               |          |                                |                                |                                      |
|--------------------------------------|-------------------------|-------------------------------------|---------------------------|---------------|----------|--------------------------------|--------------------------------|--------------------------------------|
| SERIAL NO.                           | RECEIVED FROM           | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED DURING THE MONTH | TOTAL PENDING | RESOLVED | PENDING AT THE END OF          |                                | AVERAGE RESOLUTION ON TIME (IN DAYS) |
|                                      |                         |                                     |                           |               |          | Pending for less than 3 months | Pending for more than 3 months |                                      |
| 1                                    | 2                       | 3                                   | 4                         | 5             | 6        | 7                              |                                | 8                                    |
| 1                                    | DIRECTLY FROM INVESTORS | 0                                   | 0                         | 0             | 0        | 0                              | 0                              | NA                                   |
| 2                                    | SEBI (SCORES)           | 0                                   | 0                         | 0             | 0        | 0                              | 0                              | NA                                   |
| 3                                    | DEPOSITORY PARTICIPANTS | 0                                   | 0                         | 0             | 0        | 0                              | 0                              | NA                                   |
| 4                                    | OTHER SOURCES (IF ANY)  | 0                                   | 0                         | 0             | 0        | 0                              | 0                              | NA                                   |
|                                      | <b>GRAND TOTAL</b>      | <b>0</b>                            | <b>0</b>                  | <b>0</b>      | <b>0</b> | <b>0</b>                       | <b>0</b>                       | <b>NA</b>                            |

| TREND OF MONTHLY DISPOSAL OF COMPLAINTS |                    |                                     |          |          |          |
|---|--------------------|-------------------------------------|----------|----------|----------|
| SERIAL NO.                              | MONTH              | CARRIED FORWARD FROM PREVIOUS MONTH | RECEIVED | RESOLVED | PENDING  |
| 1                                       | 2                  | 3                                   | 4        | 5        | 6        |
| 1                                       | Apr-22             | 0                                   | 0        | 0        | 0        |
| 2                                       | May-22             | 0                                   | 0        | 0        | 0        |
| 3                                       | Jun-22             | 0                                   | 0        | 0        | 0        |
| 4                                       | Jul-22             | 0                                   | 0        | 0        | 0        |
| 5                                       | Aug-22             | 0                                   | 0        | 0        | 0        |
| 6                                       | Sep-22             | 0                                   | 0        | 0        | 0        |
| 7                                       | Oct-22             | 0                                   | 0        | 0        | 0        |
| 8                                       | Nov-22             | 0                                   | 0        | 0        | 0        |
| 9                                       | Dec-22             | 0                                   | 0        | 0        | 0        |
| 10                                      | Jan-23             | 0                                   | 0        | 0        | 0        |
| 11                                      | Feb-23             | 0                                   | 0        | 0        | 0        |
| 12                                      | Mar-23             | 0                                   | 0        | 0        | 0        |
|   | <b>GRAND TOTAL</b> | <b>0</b>                            | <b>0</b> | <b>0</b> | <b>0</b> |

| TREND OF ANNUAL DISPOSAL OF COMPLAINTS |                    |                                    |                          |                          |                                |
|--|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| SERIAL NO.                             | YEAR               | CARRIED FORWARD FROM PREVIOUS YEAR | RECEIVED DURING THE YEAR | RESOLVED DURING THE YEAR | PENDING AT THE END OF THE YEAR |
| 1                                      | 2018-2019          | 0                                  | 0                        | 0                        | 0                              |
| 2                                      | 2019-2020          | 0                                  | 0                        | 0                        | 0                              |
| 3                                      | 2020-2021          | 0                                  | 0                        | 0                        | 0                              |
| 4                                      | 2021-2022          | 0                                  | 0                        | 0                        | 0                              |
| 5                                      | 2022-2023          | 0                                  | 0                        | 0                        | 0                              |
|  | <b>GRAND TOTAL</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |