VARDHAMANGLOBAL SHARECOM PVT. LTD.

Form No. :

Client Code :

Branch Code :

DP ID : 12087800

CLIENT ENROLLMENT FORM

INDIVIDUAL & NON INDIVIDUAL

PRODUCTS	DP Annual Maintenance Charges (Tick any one) (view chargesheet for your plan)
☐ EQUITY / F&O / CURRENCY	☐ SCHEME
☐ COMMODITY (NSE / BSE)	☐ BSDA
☐ DP-CDSL	

ONLINE

WEB ☐ EXE ☐

VARDHAMANGLOBAL SHARECOM PVT. LTD.
Registered Office : A-10, Janta Colony, Jaipur-302004
Corporate Office : G-1, Plot No. A19, B37-38, Janta Colony, SDC Oasis, Jaipur-302004
Phone : +91-141-4188888 / Email: info@vardhamanglobal.net / Website: www.vardhamanglobal.net
CIN No. : U67120RJ2014PTC046384

Form No. :

Vardhamanglobal Sharecom Pvt Ltd.

Acknowledgment (Office Copy)

Date :

CASH	F & O	CURRENCY	COMMODITIES	MTF	OTHERS
☐ BSE	☐ BSE	☐ BSE	☐ MCX	☐ NSE	☐ MF
☐ NSE	☐ NSE	☐ NSE	☐ NCDEX	☐ BSE	☐ DP
			☐ NSE		☐ SLB
			☐ BSE		

Account opening cheque details

Amount _____ Chq. No. _____ Bank Name _____ Date _____

Margin Cheque Details

Amount _____ Chq. No. _____ Bank Name _____ Date _____

Executive Name _____ Executive Signature _____

I have read and understood all the clause of Right and Obligation (Trading and DP), Risk Disclosure Document (RDD), Guidance Note detailing DO's and Don'ts for Trading, Policies and Procedure.

Further I/We, wish to receive the above mentioned documents by:

Electronic mode ☐ OR Physical Mode ☐

I/We acknowledge the receipt of copy of executed client registration form, tariff sheet, Right and Obligation (Trading and DP), Risk Disclosure Document (RDD), Guidance Note detailing DO's and Don'ts for Trading, Policies and Procedure.

Client Signature _____

Form No. :

Vardhamanglobal Sharecom Pvt Ltd.

Acknowledgment (Office Copy)

Date :

CASH	F & O	CURRENCY	COMMODITIES	MTF	OTHERS
☐ BSE	☐ BSE	☐ BSE	☐ MCX	☐ NSE	☐ MF
☐ NSE	☐ NSE	☐ NSE	☐ NCDEX	☐ BSE	☐ DP
		☐ MSEI	☐ NSE		☐ SLB
			☐ BSE		

Account opening cheque details

Amount _____ Chq. No. _____ Bank Name _____ Date _____

Margin Cheque Details

Amount _____ Chq. No. _____ Bank Name _____ Date _____

Executive Name _____ Executive Signature _____

VARDHAMANGLOBAL SHARECOM PVT. LTD.

Registered Office :
A-10, Janta Colony, Jaipur-302004
Tel. : 141-4188888
Website : www.vardhamanglobal.net

Processing Office :
G-1, Plot No. A19, B37-38, Janta Colony, SDC Oasis, Jaipur-302004.
Tel.: +91 141-4188888

CEO :
Mr. Yash Gangwal
E-mail : ceo@vardhamanglobal.net
Contact : +91 141-4188888

Compliance Officer :
Mr. Himanshu Rajpal
E-mail : compliance@vardhamanglobal.net
Contact : +91-141-4188888

For any grievance/dispute please contact Vardhamanglobal Sharecom Pvt Ltd at the above address or email id ig@vardhamanglobal.net and phone no. 0141-4188888. In case not satisfied with the response, please contact the concern exchange(s) at :

Exchange	E-mail ID	Telephone No.
BSE	is@bseindia.com	022-2272 8097
NSE	ignse@nse.co.in	1800220058
MSEI (MCX - SX)	investorcomplaints@msei.com	022-6112 9000, Ext. 9028
CDSL	complaints@cdslindia.com	022-2305 8658
MCX	grievance@mcxindia.com	022-6649 4000
NCDEX	askus@ncdex.com	022-6640 6789
SEBI Website : http://scores.gov.in • Toll Free : 1800227575		

Member of : (BSE-6724| NSE-90064 |)

SEBI Single Registration No. & Date :- INZ000009431 -10/09/2015

Depository Participant of Central Depository Services (I) Ltd. (CDSL)SEBI Registration No. & Date :- IN-DP-399-2019-01/02/2019

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S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
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		B. KYC form - Non-Individual	6-8
		C. HUF Declaration	9
		D. Document captures the additional information about the constituent relevant to trading account & nomination form.	10-15
2.	Brokerage Tariff Structure and Fatca	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s). Fatca Declaration	16
3.	DP Form	Individual & Corporate, DP Tariff, Rights and Obligations of Beneficial Owner and Depository Participant	17-19
4.	Declaration Mobile and Email ID	Declaration for Mobile and Email ID	20
5.	DP Tariff Sheet	DP Tariff Charges	21
6.	MFSS	Mutual Fund Service System Facility	26-27
7.	BSE StAR MF	BSE StAR MF Service System Facility	28-29
8.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	**Provide in Separate Format to Client**
9.	Rights and Obligations	Document stating the Rights & Obligations of stock broker / trading member, sub-broker / Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet / wireless technology based trading).	
10.	Risks Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market and commodities segment.	
11.	Guidance note	Document detailing do's and dont's for trading on exchange, for the education of the investors.	
12.	Rights & Obligations of Beneficial Owners & Depository Participants.	Document stating the Rights & Obligations of Beneficial Owners and Depository Participants.	
NON MANDATORY			**Equity**
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14.	ECN	Electronic Contract Note (Declaration)	24
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Signature of the Client First Holder — F - 1 - 31

Signature of Second Holder — S - 1 - 7

Signature of Third Holder — T - 1 - 7

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM (FOR EQUITY & COMMODITY)

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees/and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FIEB/FEMA guidelines), copy of passport / PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities. CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to bo submitted.
10. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to bo submitted along with other statutory approvals required for investment in commodities.#
11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.*
12. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI. ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):- *List of documents admissible as Proof of Address:*
*(*Documents having an expiry date should be valid on the date of submission.)*

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook — Not more than 3 months old.
4. *Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSi Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.*
8. The proof of address in the name of the spouse may be accepted.

PROOF OF BANK ACCOUNT (Any one of the following)

1. Cancelled cheque leaf (bearing pre-printed name of the client)
2. Self attested copy of Bank Passport bearing name and address of client.
3. Self attested copy of Bank Statement bearing pre-printed name and address of the client with transaction not more than four months old.

Note :

- In case of Bank Statement / Bank Passbook, it should be with proper Bank logo or to be certified by the Bank and bearing address of the client and MICR code of the branch.
- Age Proof to be submitted in case nominee of the demat account is a minor.
- All copies to be self attested by client and all joint holders

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.*
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.*
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.*

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below: (Applicable for Equity & Commodity)

Types of entity	Documentary Requirements
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either oirectly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities / commodity market. • Authorised signatories list with specimen signatures. • Copy of Board Resolution or Declaration (on the letter head) having the person authorised to deal in securities / commodity on behalf of company / firm / others and their specimen signature.
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
***Unincorporated association or a body of individuals**	• Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks / Institutional Investors*	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors*	• Copy of SEBI registration certificate, • Authorized signatories list with specimen signatures.
Army / Government Bodies	• Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	• Copy of Registration Certificate under Specified Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

• Please note that we Brokers are undertaking proprietory arbitrage as well as Investment Business in our own account in addition to client base business.

* Applicable for equity. # Applicable for commodity.

INSTRUCTIONS/CHECK LIST

1. Additional documents in case of trading in derivatives segments : illustrative list

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. **For individuals:**
   a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-Broker's office.
   b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. **For non-individuals:**
   a. Form need to be initialized by all the authorized signatories.
   b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on benalf of company/firm/others and their specimen signatures.

CENTRAL KYC REGISTRY Know Your Customer (KYC) Application Form | Individual

Important Instructions:

A) Fields marked with '*' are mandatory fields
B) Please fill the form in English and in BLOCK letters.
C) Please fill the date in DD-MM-YYYY format.
D) Please read section wise detailed guidelines / instructions at the end.
E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
F) List of two character ISO 3166 country codes is available at the end.
G) KYC number of applicant is mandatory for update application.
H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update
KYC Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ *(Mandatiry for KYC update request)*
Account Type* ☐ Normal ☐ Simplified (for low risk customers) ☐ Small

☐ 1. PERSONAL DETAILS (Please refer instruction A)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)	☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐
Maiden Name (If any*)	☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐
Father / Spouse Name*	☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐
Mother Name*	☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐	☐☐☐☐☐☐☐☐☐☐

Date of Birth* D D — M M — Y Y Y Y

PHOTO

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender
Marital Status* ☐ Married ☐ Unmarried ☐ Others
Citizenship* ☐ IN-Indian ☐ Others (ISO 3166 Country Code ☐☐)

Residential Status* ☐ Resident Individual ☐ Non Resident Indian
☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service (☐ Private Sector ☐ Public Sector ☐ Government Sector)
☐ O-Others (☐ Professional ☐ Self Employed
☐ Retired ☐ Housewife ☐ Students)
☐ B-Business
☐ X- Not Categorised

F1 ☞

Client Signature

☐ 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction **B** at the end)

ADDITIONAL DETAILS REQUIRED* Mandatory only if section 2 is ticked)
ISO 3166 Country Code of Jurisdiction of Residence"* ☐☐
Tax Identification Number or equivalent (if issued by jurisdiction)* ☐☐☐☐☐☐☐☐☐☐☐☐☐☐
Place! City of Birth* ☐☐☐☐☐☐☐☐☐☐☐☐ ISO 3166 Country Code of Birth* ☐☐

☐ 3. PROOF OF IDENTITY (PoI) (Please refer instruction C)

(Certified copy of any one of the following Proof of Identity[Pol] needs to be submitted)

☐ A - Passport Number ☐☐☐☐☐☐☐☐ Passport Expiry Date D D — M M — Y Y Y Y
☐ B - Voter ID Card ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
☐ C - PAN Card ☐☐☐☐☐☐☐☐☐☐
☐ D - Driving Licence ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Driving Licence Expiry Date D D — M M — Y Y Y Y
☐ E - UID (Aadhaar) ☐☐☐☐☐☐☐☐☐☐☐☐
☐ F - NREGA Job Card ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
☐ Z - Others (any document notified by the central government) ☐☐☐☐☐☐☐☐☐☐ Identification Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
Identification Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
☐ S - Simplified Measures Account - Document Type code ☐☐

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT I PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business | Residential | Business | Registered Office | Unspecified

Proof of Address* Passport | Driving Licence | UID (Aadhaar) | Voter Identity Card | NREGA Job Card

Simplified Measures Account - Document Type code | Others please specify

Address

Line 1*

Line 2

Line 3 City / Town / Village

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

4.2 CORRESPONDENCE I LOCAL ADDRESS DETAILS" (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill '**Annexure A1**')

Line 1*

Line 2

Line 3 City / Town / Village

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES" (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details | Same as Correspondence I Local Address details

Line 1*

Line 2

Line 3 City / Town / Village

State ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS

Tel. (Off) – Tel. (Res.) – Mobile –

FAX – Email ID

6. DETAILS OF RELATED PERSON

(In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person | Deletion of Related Person

KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor | Assignee | Authorized Representative

	Prefix	First Name	Middle Name	Last Name
Name*				

(If KYC number and name are provided, below details of section 6 are optional) Tel. (Off)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A - Passport Number Passport Expiry Date D D – M M – Y Y Y Y

B - Voter ID Card

C - PAN Card

D - Driving Licence Driving Licence Expiry Date D D – M M – Y Y Y Y

E - UID (Aadhaar)

F - NREGA Job Card

Z - Others (any document notified by the central government) Identification Number

Identification Number

S - Simplified Measures Account - Document Type code

7. REMARKS (If Any)

8. APPLICANT DECLARATION

- I hereby declare that the details fumished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered numberlemail address.

Date : D D — M M — Y Y Y Y

Place :

F2 ☞

Signature / Thumb Impression of Applicant

ATTESTATION / FOR OFFICE USE ONLY (Inperson Verification (IPV)

☐ Document Received Certified Copies

KYC VERIFICATION CARRIED OUT BY		INSTITUTION DETAILS	
Date	D D — M M — Y Y Y Y	Name	
Emp. Name		Code	
Emp, Code			
Emp. Designation			
Emp. Branch			
[Employee Signature]		[Institution Stamp]	

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Know Your Client (KYC) Application form - For Non Individuals

☐ **NEW** ☐ **CHANGE REQUEST** (Please tick 3 the appropriate) **Acknowledgement No. :**

Please fill this form in ENGLISH and in **BLOCK LETTERS**

(Please tick the box left margin of appropriate row where **CHANGE/CORRECTION** is required and provide the details in the corresponding now)

"Please note that rhe KYC application Form and overleaf instructions should be printed on the same page (back to back). If printed separately then both the pages should be attached and signed by the applicant."

A. IDENTITY DETAILS

1. Name of Applicant

2a. Date of Incorporation d d / m m / y y y y 2b. Place of Incorporation

3. Date of commencement of business d d / m m / y y y y

4. PAN 4a. Registration No. (e.g. CIN)

5. **Status** (please tick ✓ the appropriate)

☐ Private Limited Co.	☐ Public Ltd. Co.	☐ Body Corporate	☐ Partnership	☐ Trust
☐ Charities	☐ NGO's	☐ FI	☐ FII	☐ HUF
☐ AOP	☐ Bank	☐ Government Body	☐ Non-Government Organization	☐ Defense Establishment
☐ BOI	☐ Society	☐ LLP	☐ FPI-Category I	☐ FPI - Category II
☐ FPI-Category III	☐ Others (Please specify)			

B. ADDRESS DETAILS

1. Address for Correspondence

City / Town / Villeage Pin Code

State Country

2. Specify the proof of address submitted for correspondence address:_____

3. Contact Details

Tel. (Off.) (ISD) (STD) Tel. (Res.) (ISD) (STD)

Mobile (ISD) (STD) Fax (ISD) (STD)

E-Mail Id.

4. Registered Address (if different from above)

City / Town / Villeage Pin Code

State Country

C. OTHER DETAILS (If space is sufficient, enclose these details separately [Illustrative format enclosed page no. 12])

Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:

DIN of whole time directors

Aadhar number of Promoters/Partners/Karta

D. DECLARATION

I/We have hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Date: d d / m m / y y y y

AS1

Name & Signature of Authorised Signatory

FOR OFFICE USE ONLY

Inperson Verification (IPV) Details:

Name of the person who has done the IPV_____

Designation_____ Employee ID_____

Name of the Organization_____

Date of IPV d d / m m / y y y y

Signature of the person who has the IPV

Seal/Stamp of the Intermediary

☐ Originals Verified and Self attested Document copies received

Date: d d / m m / y y y y

AS2

Signature of the Authorised Signatory

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specially exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter lD card / Driving license.
3. Identity card/document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport / Voters Identity Card / Ration Card / Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill / Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinationa Foreign Banks / Gazetted Officer / Notary public/Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.to their Members.
7. For Fll/sub account, Power of Attorney given by Fll/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds uptoRs 50,000/-p.a.
5. In case of institutional clients, namely, Flls, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. Incase of Non-Individuals, additional documents tobe obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary whole time director/MD(to be submitted every year) • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly • Copies of the Memorandum and Articles of Association and certificate of incorporation • Copy of the Board Resolution for investment in securities market • Authorised signatories list with specimen signatures
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only) • Copy of partnership deed • Authorised signatories list with specimen signatures • Photograph, POI, POA, PAN of Partners
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered trust onlyj.Copy of Trust deed • List of trustees certified by managing trustees/CA • Photograph, POI, POA, PAN of Trustees
HUF	• PAN of HUF • Deed of declaration of HUF/List of coparceners • Bank pass-book/bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	• Proof of Existence/Constitution document • Resolution of the managing body & Power of Attorney granted to transact business on its behalf • Authorized signatories list with specimen signatures
Banks/Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years • Authorized signatories list with specimen signatures
Foreign Institutional Investors (Fll)	• Copy of SEBI registration certificate • Authorized signatories list with specimen signatures
Army/Government Bodies	• Serf-certification on letterhead • Authorized signatories list with specimen signatures
Registered Society	• Copy of Registration Certificate under Societies Registration Act • List of Managing Committee members • Committee resolution for persons authorised to act as authorised signatories with specimen signatures • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

1. Name
2. Relationship with Applicant (i.e. promoters, whole time directors etc)

PHOTOGRAPH

Please affix your recent passport size Photograph and sign across it

3a. PAN | 3b. DIN

3c. Aadhar (UID) Number

4. Residence / Registered Address

City/town/village. | Pin Code:

State: | Country:

1. Name
2. Relationship with Applicant (i.e. promoters, whole time directors etc)

PHOTOGRAPH

Please affix your recent passport size Photograph and sign across it

3a. PAN | 3b. DIN

3c. Aadhar (UID) Number

4. Residence / Registered Address

City/town/village. | Pin Code:

State: | Country:

1. Name
2. Relationship with Applicant (i.e. promoters, whole time directors etc)

PHOTOGRAPH

Please affix your recent passport size Photograph and sign across it

3a. PAN | 3b. DIN

3c. Aadhar (UID) Number

4. Residence / Registered Address

City/town/village. | Pin Code:

State: | Country:

1. Name
2. Relationship with Applicant (i.e. promoters, whole time directors etc)

PHOTOGRAPH

Please affix your recent passport size Photograph and sign across it

3a. PAN | 3b. DIN

3c. Aadhar (UID) Number

4. Residence / Registered Address

City/town/village. | Pin Code:

State: | Country:

AS3

Name & Signature of the Authorised Signatory(ies)

Date D D M M Y Y Y Y

HUF DECLARATION FORM

M/s. _____ the Karta of Hindu Undivided Family, here by declare that the following are the co-parcener/member of my family.

Sr. No.	Particulars	Name	Date of Birth	Relationship
1	Karta			
2	Co-Parcener/member2			
3	Co-Parcener/member3			
4	Co-Parcener/member4			
5	Co-Parcener/member5			
6	Co-Parcener/member6			
7	Co-Parcener/member7			
8	Co-Parcener/member8			
9	Co-Parcener/member9			
10	Co-Parcener/member10			
11	Co-Parcener/member11			
12	Co-Parcener/member12			

Signed & HUF Stamp

Name :_____

COMMON DETAILS FOR INDIVIDUAL / NON-INDIVIDUAL

(Trading & DP Account Related Details)

A. BANK ACCOUNT(S) DETAILS OF SOLE / FIRST HOLDER

Bank Name

Branch Name

Branch Address

Bank A/c. No.

A/c. Type ☐ Savings ☐ Current ☐ NRE/NRO ☐ Others _____

MICR Number IFSC Code

P.N. : In case of NRI's, Bank Account should be Repatrible Bank Account for NRE or Non Repatrible Bank Account for NRO.

ADDITIONAL BANK ACCOUNT(S) DETAILS OF SOLE / FIRST HOLDER

Bank Name

Branch Name

Branch Address

Bank A/c. No.

A/c. Type ☐ Savings ☐ Current ☐ NRE/NRO ☐ Others _____

MICR Number IFSC Code

P.N. : In case of NRI's, Bank Account should be Repatrible Bank Account for NRE or Non Repatrible Bank Account for NRO.

B. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name

Depository Name ☐ NSDL ☐ CDSL

DP ID Beneficiary ID (BO ID)

First Holder Name

Second Holders Name

Third Holders Name

C. OTHER DETAILS (please see guidelines overleaf) - For Individual

1. Gross Annual Income Details (Please tick (✓): ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ > 25 Lacs

OR

Net-worth in ₹. _____ (*Net worth should not be older than 1 year) as on (date) d d / m m / y y y y

2. Occupation (Please tick (✓) any one and give brief details):

☐ Private Sector Service ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired

☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify) _____

D. OTHER DETAILS (please see guidelines overleaf) - For Non Individual

1. Gross Annual Income Details (Please tick (✓): ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lacs - 1 Crore ☐ > 1 Crore

Net-worth in ₹. (*Net worth should not be older than 1 year) as on (date) d d / m m / y y y y

2. Any other information: _____

E. PEP DETAILS

Any other information: _____

Please tick, if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

☐ Not Politically Exposed Person (PEP) ☐ Not Related to a Politically Exposed Person (PEP)

F. GOODS & SERVICE TAX:

Goods & Service Tax as per Government Notification

G. TRADING PREFERENCES

Please sign the relevent boxes where you wish to Trade

Exchanges	Segment						
	Cash	F&O	Currency	*SLB	MF	MTF	Commodity
NSE	F3 ☞	F5 ☞	F7 ☞	F10 ☞	F11 ☞	F13 ☞	F15 ☞
BSE	F4 ☞	F6 ☞	F8 ☞		F12 ☞	F14 ☞	F16 ☞
			F9 ☞				

H. TRADING PREFERENCES

(Please tick ☑ segment and sign in the signature box)

Name of Commodity Exchange	Date of Consent for trading on concerned Exchange	Segment		Signature of the Client
		Future	Option	
MCX				F17 ☞
NCDEX				F18 ☞

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.
*SLB (Securities Lending & Borrowing): This segment will be activated only after obtaining duly signed member Client agreement from the customer.

I. PAST REGULATORY ACTIONS:

- Details of any action/proceedings initiated/pending/ taken by FMC/ SEBI / Stock exchange / Commodity exchange / any other authority against the client dealing in commodities during the last 3 years: _____

J. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

(If client is dealing through the sub-broker, Authorized Person (AP) provide the following details)

Sub-broker's Name:

SEBI/Exchange Registration number:

Registered office address

Phone Fax

Website

• Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, Authorized Person (AP) provide details of all)

Name of stock broker

Name of Sub-Broker, if any:

Client Code: Exchange:

Details of disputes/dues pending from/to such stock broker

K. ADDITIONAL DETAILS

- Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify):
  Specify your Email id, if applicable:
- Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):
- Number of years of Investment/Trading Experience:

L. INTRODUCER DETAILS (OPTIONAL)

Name of Introducer Surname Name MiddleName

Status of the Introducer: ☐ Sub-broker ☐ Remisier ☐ Authorized Person ☐ Existing Client ☐ Others, please specify

Introducer Address

Tel. No.

Signature of the Introducer _____

Whether client is also registered as sub-broker with us or with other broker. : Yes ☐ No ☐

If Yes, name of Broker: _____

NOMINATION DETAILS (for individuals only) - TRADING & DP

For Trading ☐ **I/We wish to nominate** ☐ **I/We do not wish to nominate**

Name of the Nominee:

Relationship with the BO: PAN

Date of Birth of Nominee:# D D M M Y Y Y Y

Address of the Nominee:

Phone No.

If Nominee is a minor, details of guardian:

Name of guardian:

Address and phone no. of Guardian:

Signature of guardian

For DP

Nomination Registration No. _____

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that: Dated D D M M Y Y Y Y

☐ **I/We do not wish to nominate any one of this demat account**

☐ **I/We nominate following persons who is / are entitled to receive security balances lying in my / our account**

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name : *First Name : Middle Name : *Last Name :			
Nomination Details	**Nominee 1**	**Nominee 2**	**Nominee 3**
*Address :			
*City			
*State			
*Pin			
*Country			
Telephone No.			
Fax No.			
PAN No.			
UID			
Email ID			
*Relationship with the BO:			
Date of birth (mandatory if Nominee is a minor) dd-mm-yy#			
Name of the Guardian of Nominee (if nominee is a minor#) ***First Name:** **Middle Name:** ***Last Name**			

Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address of the guardian of nominee:			
*City			
*State			
*Country			
*Pin			
Age			
Telephone No.			
Fax No.			
Email ID			
*Relationship of the Guardian with the Nominee			
***Percentage of allocation of securities**			
***Residual Securities (please tick any one nominee.) if tick not marked the default will be first nominee**	☐	☐	☐

Note : Residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

***Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note : Witness shall attest signature(s) / thumb impression(s)

Details of the Witness	First Witness
Name of witness	
Address of witness	
Signature of witness	

I/We have received and read the Rights and Obligations document and terms and conditions and agree to abide by and be bound by the same and by the same and by the Bye Laws as are in force from time to time. I/we declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP and change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature	**F19** ☞	**S1** ☞	**T1** ☞

(Signature should be preferably in blue ink).

DECLARATION

1. I/We hereby declare that trie details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) 'Risk Disclosure Document' & Do's and Dont's. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

4. I, the holder of Aadhar number |_|_|_|_| |_|_|_|_| |_|_|_|_|, hereby give my consent to Vardhamanglobal Sharecom Pvt. Ltd. to obtain my Aadhaar Number for authentication with UIDAI. Vardhamanglobal Sharecom Pvt. Ltd., has informed me that my identity information would only be used for demographic authentication / validation / e-KYC / KYC and linking purpose and also informed that my biometrics will not be stored / shared and will be submitted to CIDR only for the purpose of authentication.

Place :

Date :

F20 ☞ _____

Signature of Client/ (all) Authorized Signatory (ies)

FOR OFFICE USE ONLY

☐ (Originals verified) True copies of documents received ☐ (Self-Attested) Self Certified Document copies received

UCC Code allotted to the Client |_|_|_|_|_|_|_|_|_|_|_|_|_|_|_|_|

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

"I/We undertake that we have made the client aware of Policy and Procedures', tariff sheet and all the Voluntary/ non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, Do's and Don'ts and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the Policy and Procedures', tariff sheet and all the Voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients

AS2 ☒

Signature of the Authorised Signatory

Date : |D|D|M|M|Y|Y|Y|Y|

Seal/Stamp of the stock broker

BROKERAGE TARIFF STRUCTURE

	Equity					
	Cash		F&O		Currency	
	Min	In	Min	In	Min	In
	(Paise)	(%)	(Paise)	(%)	(Paise)	(%)
Trading Brokerage						
Delivery Brokerage						
Option Brokerage			Per Lot	Percentage (%)	Per Lot	Percentage (%)
			Rs.		Rs.	

Commodities Brokerage Tariff Structure

Delivery (%)		Min. (paise)	
Trading (Cash Leg) (%)		Min. (paise)	
Option Brokerage	Per Lot	Percentage (%)	
	Rs.		

- Special rates as may be agreed by the sub-broker/Authorised Person and client and the same are mentioned here.

Other Charges

1) Trading Account opening charges is Nil
2) Rs 10/- + GST per transaction will be charged for Securities transferred on account of Inter-settlement and /or inter exchange and/or Securities movement Stock Brokers pool and/or beneficiary account on client behalf, Rs 10/- + GST per transaction will be charged
3) SEBI Turnover fees, Goods & Service Tax , Stamp Duty and Transaction charges will be levied separately from brokerage as applicable from time to time
4) Segment and bill wise Minimum Brokerage will be Rs. NIL (Not more than Exchange Norms).
5) Brokerage on Scrip "LIQUIDBESS" will be 0.0001% of Turnover in NSE and BSE Cash Segment.
6) Cash market segment delivery brokerage tariff will be applicable in case of F&O position convert into stock delivery at the end of the F&O expiry day.
7) GST will be applicable as per Central & State Governments Rules.
8) Any scrip movement from client Demat to VGSPL margin account in any mode of transaction (DIS/POA), Pledge charges would be applicable in trading account as per VGSPL policy.

F21 ☞

Signature of Client

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL / NON INDIVIDUAL

Client Name	

	First / Sole Holder		Second Holder (if any)		Third Holder (if any)	
Are you U.S. Person? (Refer KYC Handout - Customer Copy)	☐ Yes ☐ No.		☐ Yes ☐ No.		☐ Yes ☐ No.	
Specify country of resident for tax purpose (Tax Residency)	☐ India ☐ Other		☐ India ☐ Other		☐ India ☐ Other	
Specify country of citizenship	☐ India ☐ Other		☐ India ☐ Other		☐ India ☐ Other	

Note : If you are a U.S. person and / or if your tax residenct / nationality / citizenship is other than India than please provide declaration / self certification under FATCA / CRS.

This declaration form can be downloaded from http://www.vardhamanglobal.net or call at centralized helpdesk at 0141-4188888.

F22 ☞ _____ S2 ☞ _____ T2 ☞ _____

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DEPOSITORY PARTICIPANT (CDSL)
ACCOUNT DETAILS

VARDHAMANGLOBAL SHARECOM PVT. LTD.

SELECT ACCORDINGLY THE TYPE OF ACCOUNT

Type of Account *(for individual / nri / foreign national only)*

Status	*Sub – Status (Please Tick whichever is applicable)*
❑ Individual	❑ Individual Resident ❑ Individual-Director ❑ Individual Director's Relative ❑ Individual HUF / AOP ❑ Individual Promoter ❑ Minor ❑ Individual Margin Trading A/C (MANTRA) ❑ Others (specify)
❑ NRI	❑ NRI Repatriable ❑ NRI Non-Repatriable ❑ NRI Repatriable Promoter ❑ NRI Non-Repatriable Promoter ❑ NRI – Depository Receipts ❑ Others (specify)_____
❑ Foreign National	❑ Foreign National ❑ Foreign National - Depository Receipts ❑ Others (specify)_____

OR

Type of Account *(for corporate / clearing member only)*

Sub-Status (To be Filled by DP)	Status (Please Tick whichever is applicable)
	❑ Body Corporate ❑ Banks ❑ Trust ❑ Mutual Fund ❑ OCB ❑ FII ❑ CM ❑ FI ❑ Clearing House ❑ Other (Specify)_____
❑ Nationality	❑ Indian ❑ Others (specify)_____

Instructions to the Applicants (BOs) for account opening:

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his/her official seal.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. Strike off whichever option, in the account opening form, is not applicable.

CAS Facility - CAS (Consolidated Account Statement) is available

1. I/We wish to opt CAS Facility ☐
2. I/We do not wish to opt CAS Facility ☐

Application Form for Opening a Securities Demat Account - Individual / Corporate

DP ID : 87800(Equities)

Form No.									Date								
BO ID	1	2	0	8	7	8	0	0	Client ID								

I / We request you to open a Demat Account in my / our name as per the following details: -
(To be filled by the applicant in BLOCK LETTERS in English)

Please Select the Account Type	❑ Individual	❑ Corporate

HOLDERS DETAILS

Sole / First Holder's Name		PAN											
		UID											
Second Holder's Name		PAN											
		UID											
Third Holder's Name		PAN											
		UID											

Details of Guardian (in case the account holder is minor)

Guardian's Name		PAN	
Relationship with the aplicant			

Name *	

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit]	☑ Yes ❑ No
Account Statement Requirement ❑ Daily ❑ Weekly ❑ Fortnightly ❑ Monthly ❑ As per SEBI regulation	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID	❑ Yes ❑ No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any further instruction from my/our end. (If not marked, the default option would be "No")	❑ Yes ❑ No
I/We would like to share the Email Id with **RTA**	❑ Yes ❑ No
I/We would like to received the Annual Report ❑ Physical / ❑ Electronic / ❑ Both Physical & Electronic (Tick the applicable box, If not marked the default option would be physical)	
I/we wish to receive dividend / interest directly in to my bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	❑ Yes ❑ No

For NRIs / OCBs

*** I/We hereby Declare that I/We has complied with, and will continue to comply with, FEMA regulations and other applicable laws**

A. BANK ACCOUNT DETAILS

Bank Name

Branch Name

Branch Address

Bank A/c. No.

A/c. Type ❑ Savings ❑ Current ❑ NRE/NRO ❑ Others

MICR Number IFSC Code

City State

Country Pin Code

Additional Details

SMS Alert Facility	Refer to terms and condition given as Annexure 'A' available at our website www.**vardhamanglobal.net**
Mobile Registration	MOBILE NO.: +91_____ E-mail ID_____ [(Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
Transactions Using Secured Texting Facility (TRUST) Refer to Terms & Conditions given as Annexure - A available at our website www.vardhamanglobal.net	I wish to avail the TRUST facility using the Mobile No. registered for SMS Alert Facility. I have read and understood the Terms & Conditions prescribed by CDSL for the same. ❑ Yes ❑ No I/We wish to registered the following clearing member IDs under my/our below mentioned BO ID registered for TRUST

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

***E**asi*	To register for ***e**asi,* please visit our website www.cdslindia.com ***E**asi* allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Additional Information (for corporate / clearing member only)

SEBI Regn. No. (If applicable) | SEBI Regn. Date

ROC Regn. No. (If applicable) | ROC Regn. Date

RBI Regn. No. (If applicable) | RBI Approval Date

Clearing Members Details (to be filled by cms only)

Name of the Stock Exchange

Name of the CC / CH

Trading Id

Clearing Member ID

Individual	I/We have received and read the rights and obligations documents and terms and conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.
Corporate	"I/We have received and read the document of 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action."

A] Names B) Designation (For Corporate) C] Signature of Holders

	Sole / First Holder	Second Holder	Third Holder
	First / Sole Authorised Signatory (For Corporate)	Second Authorised Signatory (For Corporate)	Third Authorised Signatory (For Corporate)
A.	Name	Name	Name
B.	Designation	Designation	Designation
Specimen Signature	**F23** ☞ **(Signature of 1st Holder)**	**S3** ☞ **(Signature of 2nd Holder)**	**T3** ☜ **(Signature of 3rd Holder)**

DECLARATION OF MOBILE NUMBER / EMAIL ID

Date:

To,

Vardhamanglobal Sharecom Pvt. Ltd.
A-10, Janta Colony, Jaipur-302004

I/We, _____ hereby declare that the Mobile no. _____

And Email ID _____

Belongs to Mr. /Mrs. / Ms. _____

And I/We have Relation : ☐ Self ☐ Spouse ☐ Dependent - child ☐ Dependent - Parent.

or Authorized Person in ☐ Corporate/Trust/Partnership Firm /HUF Account

I/We request you to update the same in my trading and Demat account and send all the Confirmations and other communication through SMS and EMAIL ID.

Further I/We hereby agree & undertake to indemnify and keep indemnified and save harmless you from against all claims/ demands/ penalties/suits/action or any loss or damaged suffered or uncured by you as a Consequence of such instruction.

Please provide detail as mentioned below : -

Relation	**Name**	**Trading code**	**Demat Account No.**
Spouse			
Dependent			
Corporate/Trust/Partnership Firm / HUF Account			

Client Code :

Demat ID No. :

Thanking You,

	1st Holder	2nd Holder	3rd Holder
Name			
Signature / Auth. Signatory			

DP - TARIFF

Charge Description	GREAT	LIFE TIME FREE AMC	BSDA
Account Opening	**Nil**	**Nil**	**Nil**
Annual Maintenance	For Individual - Rs. 500/- For Corporate - 1000/-	Not Applicable	Demat Stock Value below Rs.50000 - AMC will be Nil & Then Rs.500
Sell transaction within VGSPL Off Market Transfer	**Within** VGSPL - Min. Rs. 25/- and 0.02% of Value **Other DP /Broker** - Min. Rs. 25/- and 0.02% of Value whichever is Higher	Not Applicable	Within VGSPL - Min. Rs. 25/- and 0.02% of Value Other DP/Broker- Min. RS 25/- and 0.02% of Value Whichever is Higher
Account Modification (viz. Addition / Changes / Deletion in Master details like Name,PAN,Address,Email,Mobile,Bank etc.)	Rs.15/-	Not Applicable	Rs.15/-
Freeze & Unfreeze	Rs.15/-	Not Applicable	Rs.15/-
Demat Charges	Rs. 5/- per certificate + Rs. 40/- per request	Not Applicable	Rs 5/- Per certificate + Rs.40/- per request
Remat Charges	Rs. 200/- per Certificate+Rs. 40/- Charges	Not Applicable	Rs. 200/- per Certificate + Rs 40/- Charges
Pledge Creation/Closure/Cancelation	0.02% of Value or Min. 50/- Per Req	Not Applicable	0.02% of value or min Rs.50/- per req
Rejection charges - DRF & Instruction Slip	Rs. 25/-	Not Applicable	Rs. 25/-
Additional statement (other than free Monthly)	Rs.15/- per statement	Not Applicable	Rs.15/- per statement
DIS book Request on plain letter instead of requisition slip	Rs.50/- per DIS book	Not Applicable	Rs.50/- per DIS book

GST will be applicable as per Central Government and State Government Rules.

Declaration by client

*** I/We hereby authorize you to debit / transfer of fund from DP account to Trading account or vice-versa, in order to set off outstanding dues in my /our account.**

*** I/We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/We also undertake to comply with the guidelines issued by any such authority for BSDA facility from time. I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guideline issued by SEBI or any such authority at any point of time, my/ our BSDA account will be converted to regular demat account without further reference to me/ us and will be levied charges as applicable to regular accounts as informed by the** VGSPL**.**

I, the first / sole holder also hereby declare that I do not have / propose to have any other demat account across depositories as a first/ sole holder.

I do not wish to avail BSDA scheme ☐ I wish to avail BSDA scheme ☐

F24 ☞ _____ **S4** ☞ _____ **T4** ☞ _____

(Sole / First Holder) **(Second Holder)** **(Third Holder)**

VGSPL - **Authorised Signatory** _____

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POWER-OF-ATTORNEY (VOLUNTARY)

KNOW ALL MEN BY THESE PRESENTS THAT I/WE Mr. / Mrs. / M/s.

(first holder) _____ **(second holder)**_____ **(third holder)** _____

an individual / a sole proprietary concern / a partnership firm / a body corporate / trust, registered / incorporated, under the provisions of the Indian Partnership Act, 1932 / the Companies Act, 1956 or any relevant Act, having his / her / its residence / registered office / place of business at_____

_____

(hereinafter referred to as "the client") having unique client code as_____ wish to avail / have availed the Broking / E-broking facilities and other services offered (hereinafter referred to as "Services") by Vardhamanglobal Sharecom Pvt. Ltd. (hereinafter referred to as "VGSPL"), companies incorporated under the Companies Act, 1956 and having their offices at A-10, Janta Colony, Jaipur-302004, Rajasthan and processing office at G-1, Plot No. A19, B37-38, Janta Colony, SDC Oasis, Jaipur-302004 (Rajasthan) and is a Member (Trading Member) of various exchanges (hereinafter referred to as "the Exchanges") and is also a "Depository Participant" registered with (CDSL) Whereas in the course of availing the services and for meeting the settlement obligations thereof on the Exchanges, I/We do hereby nominate, constitute and appoint Vardhamanglobal Sharecom Pvt. Ltd. (Member Broker), hereinafter referred to as my/our true and lawful attorneys for my depository account with Vardhamanglobal Sharecom Pvt. Ltd. (Depository Participant) DP ID 12087800 Client ID_____, to execute and perform severally the following acts, deeds, matters and things, provided the attorney complies with all the applicable conditions of all or any of their services offered by them in their capacity as Stock Brokers.

In this Power of Attorney the term "Securities" shall include shares, scrips, stocks, bonds, warrants, options, futures, derivatives, convertible debentures, non-convertible debentures, securitized debt instruments, fixed return investment, equity linked instruments or other marketable Securities like nature in or of any incorporated company or other body corporate, negotiable instruments including usuance Bills of Exchange, deposits or other money market instruments, commercial paper, Certificate of deposit, units issued by the Unit trust of India and units of Mutual Funds, mortgage backed or other asset backed Securities issued by any incorporate company and Securities issued by the Central Government or a State Government for the purpose of raising public loan and having one of the forms specified in Clause (2) of Section 2 of the Public Debt Act, 1944, any other new form of capital or money market instruments that may be issued in the future by any incorporated company /firm/institution or Government.

The demat account details of VGSPL where shares can be transferred for above purpose is mentioned below.

Segment	Demat Account No.	DP ID	Account Type	CM-BP ID
BSE				
NSE	**10745929**	IN300966	NSDL POOL Account	IN519749
	1208780000000139	12087800	CDSL CM POOL / Principal Account	M51974
	1100001100020639	12087800	Early pay in Account	
NSE/BSE	1208780000000027	12087800	Beneficary account	

T5 S5 F25

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Further in case the Stock Broker is required to open any new accounts for the purpose of pay-in for trades on any recognized stock exchange, I/we hearby agree that this Poa shall be applicable to all such accounts and would be covered by this POA as long as the details of such accounts are informed to me/us in writing by the said stock broker.

F26 _____ **S6** _____ **T6** _____

Witness (1) Witness Signature **Witness (2)** Witness Signature

1. To retain /debit in my/our aforesaid beneficiary account or transfer securities there-out to pool / collateral /margin/any other beneficiary account of the VGSPL for the purpose of delivering /pledging the same to the clearing house of the recognized stock exchange in any segment to discharge my / our settlement / Pay in obligation / Margin obligations, in respect of securities sold by me / us or for the purpose of providing margins in respect of the trading positions taken by me / us in BSE(CASH/F&O/CURRENCY/COMMODITY) / NSE (CASH/F&O/CURRENCY/COMMODITY/SLBM) as well as providing the exposure in the BSE(CASH/F&O/CURRENCY/COMMODITY) / NSE (CASH/F&O/CURRENCY/COMMODITY/SLBM) .
2. Pursuant to my/our instruction or instruction of my/our Authorised Representative to do all such acts and things as may be necessary to effect the subscription / purchase / redemption or any other transaction for mutual funds/online Mutual Fund or otherwise of initial public offer / follow on public offer / right issue / offer for sale of securities of the company/or Investment, tendering shares in open offer / buy-back / delisting or any other like issues or any investment Product for which services are availed from VGSPL and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
3. To debit the aforesaid beneficiary account to discharge the pay in obligations towards Redemption of Mutual fund units in stock exchange platforms and transfer units directly to the clearing house of the respective stock exchanges.
4. To debit my/our ledger account maintained with VGSPL for fees/charges etc. And to discharge all financial obligations relating to transactions undertaken by me/us on any of stock exchanges.
5. I/We authorizes to my attorney to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with VGSPL irrespective of the fact that such accounts may pertain to transaction in any of the Exchange and/or against the value of cash margin or collateral securities provided to by me/us.
6. I/We authorize to my attorney to maintain a running account of my/our in order to facilitate the transfer of funds across segments /retain credit balance /to set off outstanding.
7. I/we do hereby ratify and confirm whatsoever my/our said attorney shall have lawfully done or may lawfully do or caused to be done by virtue of or in exercise of any power hereby granted, given authorized or implied or intended to be so granted, given or authorized and also all lawful acts, deeds, matters and things done by the said attorney of the nature mentioned above or incidental or ancillary thereto or arising there-from or deemed by my/our attorney to be requisite or expedient to be done or performed in exercise of any power herein.
8. I/we do hereby agree to bear interest @18% p.a. which would be charged on outstanding dues in order to meet up with my/our obligations temporarily. The above interest rate can be changed from time to time at broker discretion.
9. To authorize VGSPL to send trades and accounts related information and also Scrip-wise buy and sell positions taken by way of SMS/email on a regular basis.
10. I/We further agree and confirm that the powers and authorities conferred by this POA shall continue to be good, valid and effective until revoked by me/us in writing given to my attorney and that the POA shall not be affected by lapse of time. This POA shall continue in full force and effect until my/our attorney shall receive written notice of revocation thereof, signed by me/us; or, in the event of termination thereof by my/our death, until my/ our attorney shall have received actual notice thereof and such revocation or termination shall in no way affect the validity of this POA with reference to any transactions initiated by my/our attorney, prior to the actual receipt by the attorney of the notice of such revocation / termination as above provided.. Further, without prejudice to the generality of the aforesaid, such revocation of this POA, in so far as any transaction, settlement of which is pending on the date of receipt of notice of revocation by my/our attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/ us in connection therewith have been fully paid by me / us to the stock broker. Further, such revocation of power and authority given to my/our attorney hereby shall in no way affect the validity of any relevant Act, deeds or things done or action taken by my/our attorney for the time being in force, for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the attorney.
11. To return to me/us, the securities that may have been received by the stock broker erroneously or those securities that the stock broker was not entitled to receive from me/us.
    I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) through a writing notice served by me/us to the stock Broker
    I/We hereby agree & undertake to indemnify and keep indemnified and save harmless you from and against all claims / demands / penalties / suits / actions or any loss or damage suffered or incurred by you as a consequence of such instructions.
12. In WITNESS WHEREOF I/We have hereunto set and subscribed my/our respective hands to these presents on the _____ day of _____ in presence of:

Signed for and on behalf of	1st Holder	2nd Holder	3rd Holder	
Signature	**F27** ☞	**S7** ☞	**T7** ☞	**For** Vardhamanglobal Sharecom Pvt. **Ltd.**
Name of Authorised Signatory				
Tital / Designation				**Authorised Signatory**
Witness 1	Name & Address			
Witness 2	Name & Address			

In case of HUF (Co-parcener's sign.)

Signature	Signature	Signature	Signature

ECN CONSENT (VOLUNTARY)

To,
Vardhamanglobal Sharecom Pvt. Ltd.
A-10, JANTA COLONY, JAIPUR-302004 RAJASTHAN

Dear Sir(s),

Sub: Request for sending Contract Notes, Account Statements / Documents etc. on My/our Email ID

I,_____ a client with Member M/s._____of_____ Exchange undertake as follows:

- I/we aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I/we ourself want the same in the electronic form.
- I /we aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I/we find that it is inconvenient for me to receive physical contract notes. Therefore, I/we am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me/us.
- I/we have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is* _____. This has been created by me/us and not by someone else.
- I/we am/are aware that this declaration form should be in English or in any other language known to me/us.
- I/we am/are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.
- I / We given to understand that M/s VGSPL simultaneously publishes the 'electronic documents' on its designed website / online portal https://vardhamanglobal.net in order to further strengthen the electronic communication channel.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us. I/we am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email id must be return in own handwriting of the client.)

Client Name: _____

Unique Client Code : _____ PAN: _____

Address :_____

_____

_

In case of any change in my/our said E-mail Id, I/We undertake to intimate the same to you in writing through a physical letter.

F28 ☞

Date : D D M M Y Y Y Y

LETTER OF AUTHORITY (VOLUNTARY)

To,
Vardhamanglobal Sharecom Pvt. Ltd.
A-10, JANTA COLONY, JAIPUR-302004 RAJASTHAN

Dear Sirs,

Ref. Client Code

Sub: Letter of Authority for NSE / BSE

I/We am/are dealing with you (NSE / BSE) and in order to facilitate ease of operations, I/We authorize you as under:

1. I/We authorise you to set off outstanding In any or my/our accounts against credits available or arising In any other accounts maintained with you Irrespective of the fact that such accounts may pertain to transaction in any of the Exchange and/or against the value of cash margin or collateral Securities provided to by me/us.

2. I/We request you to retain credit balance in any of my/our account and to use the idle funds towards my/our margin/future obligations at the Exchange unless I/We instruct you otherwise.

3. I/We request you to retain securities in your Demat account for my/our margin/future obligations, unless otherwise I/We instruct you to transfer the same to my/our account.

4. The client hereby authorizes the trading member to maintain a Running Account of the client in order to facilitate the transfer of funds across segments/retain credit balance/to set off outstanding.

5. I would like the mandatory settlement of the funds and securities (if applicable) as indicated below (tick against your preference).

   ☐ Monthly Basis ☐ Quarterly Basis

6. As and when I/We require the funds/ securities, I /We be informing you of the same and on receipt of the instructions from me/us , same may be released within one working day of the request after verification and if the amount is due as per VGSPL.

7. To square off my/our position of transactions that are not delivery market during intraday trade.

8. I/We hereby authorise you to set off the outstanding/debits in my account by disposing the securities available in my DP Account No. with you in case of default.

9. The client agrees to bear interest @ 18% p.a. which would be charged on outstanding dues in order to meet up with the client's obligations temporarily.

10. I/we have been made aware of the company's policies & procedure.

11. The above authorization can be revoked at any point of time at the client's own discretion.

12. I/We confirm & agree to issue me/us as electronic/digital contract notes on the e-mail id_____
I/We undertake that the same shall be treated as valid contract note. any change in the email id shall be communicated to the head office in writing.

13. I/We authorised you to reach out to me/ us for any confirmations like Order Verification, Delivery Confirmation, Trade Confirmation, Welcome call etc. through Call/ IVR , E-Mail/ SMS or other source of communication on the Mobile no. recoded/ registered with us, irrespective of User's registration with DND registry of TRAI

14. I/We agree to auto-renew my/us consent after every 180 days until I/ we opt-out.

F29 ☞

Date : D D M M Y Y Y Y

MUTUAL FUND SERVICE SYSTEM FACILITY

(Letter to be provided by the investor to the participant)

Date:_____

Vardhamanglobal Sharecom Pvt. Ltd.
A-10, JANTA COLONY, JAIPUR-302004 RAJASTHAN

Sir,

Sub: Mutual Fund Service System (MFSS) facility

I/We _____ am/are registered as your client with Client Code No. _____ and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital Market segment of National Stock Exchange of India Ltd. (Exchange).

I/We am/are interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS of the Exchange.

For the purpose of availing the MFSS facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of MFSS and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the Circular No NSE / MFSS/003/2008 (Download Reference No : NSE/CMTR/13533) dated 24/11/2009 and as may be specified by the Exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the MFSS.

Thanking you,

Yours faithfully,

F30 ☞

_____

(Client Signature)

Details of terms & conditions for the Investor / Client for using New MFSS facility

1. Pre-requisites for becoming Investor / Client for the New MFSS facility

1.1. The client who is desirous of investing in units of mutual fund schemes through the New MFSS.

1.2. The Client intends to execute his instruction for the subscription/redemption of units of Mutual Fund Schemes through the Participant of the New MFSS.

1.3. The client has satisfied itself of the capacity of the Participant to deal in Mutual Fund units and wishes to execute its instruction through the Participant and the client shall from time to time continue to satisfy itself of such capability of the Participant before executing transacting through the Participant.

1.4. The Client has approached to the Participant with the application for availing the New MFSS facility.

1.5. The client has submitted relevant KYC (Know Your Client) details to the Participants

2. Terms and Conditions

2.1. The client shall be bound by circulars issued by NSEIL, Rules, Regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.

2.2. The client shall notify the Participant in writing if there is any change in the information in the 'client registration form' provided by the client to the Participant at the time registering as a client for participating in the New MFSS or at any time thereafter.

2.3. The client shall submit to the Participant a completed application form in the manner prescribed format for the purpose of placing a subscription order with the Participant.

2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.

2.5. The client shall be wholly responsible for all his investment decisions and instruction.

2.6. The client shall ensure continuous compliance with the requirements of the NSEIL, SEBI and AMFI.

2.7. The Client shall pay to the Participant fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Participant renders to the Client.

2.8. The client will furnish information to the Participant in writing , if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.

2.9. In the event of non-performance of the obligation by the Participant, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of NSEIL or NSCCL.

2.10. In case of any dispute between the Participants and the investors arising out of the MFSS facility, NSEIL and / or NSCCL agrees to extend the necessary support for the speedy redressal of the disputes.

(LETTER TO BE PROVIDED BY THE INVESTOR TO THE MFI)

Date:_____

Vardhamanglobal Sharecom Pvt. Ltd.
A-10, JANTA COLONY, JAIPUR-302004 RAJASTHAN

Sir,

Sub: BSE StAR MF

I/We _____ am/are registered as your client with Client Code No. _____ and have executed the Trading Member and Client Agreement for the purpose of trading in the Equity Segment of Bombay Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE StAR MF.

For the purpose of availing this facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE StAR MF and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the Notice dated December 2, 2009 and as may be specified by the Exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/we therefore request you to register me/us as your client for participating in BSE StAR MF.

Thanking you,

Yours faithfully,

F31 ☞

_____
(Client Signature)

Details of terms & conditions for the Investor / Client for using BSE StAR MF platform

1. Pre-requisites for becoming Investor / Client for the BSE STAR MF platform

1.1. The client who is desirous of investing in units of mutual fund schemes through the BSE STAR MF.

1.2. The Client intends to execute his instruction for the subscription/redemption of units of Mutual Fund Schemes through the broker who is a Mutual fund Intermediary (MFI) of the BSE STAR MF platform.

1.3. The client has satisfied itself of the capacity of the MFI to deal in Mutual Fund units and wishes to execute its instruction through the MFI and the client shall from time to time continue to satisfy itself of such capability of the MFI before executing transacting through the MFI.

1.4. The Client has approached to the MFI with the application for availing the BSE STAR MF platform.

1.5. The client has submitted relevant KYC (Know Your Client) details to the MFIs

2. Terms and Conditions

2.1. The client shall be bound by circulars issued by BSE's Rules, Regulations and Notices/circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.

2.2. The client shall notify the MFI in writing if there is any change in the information in the 'client registration form' provided by the client to the MFI at the time of registering as a client for participating in the BSE STAR MF platform or at any time thereafter.

2.3. The client shall submit to the MFI a completed application form in the manner prescribed format for the purpose of placing a subscription order with the MFI.

2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.

2.5. The client shall be wholly responsible for all his investment decisions and instruction.

2.6. The client shall ensure continuous compliance with the requirements of the BSE, SEBI and AMFI.

2.7. The Client shall pay to the MFI fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that MFI renders to the Client.

2.8. The client will furnish information to the MFI in writing , if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.

2.9. In the event of non-performance of the obligation by the MFI, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of BSE or its Clearing Corporation - Indian Clearing Corporation Ltd. (ICCL).

2.10. In case of any dispute between the MFIs and the investors arising out of the BSE STAR MF platform, BSE and / or ICCL agrees to extend the necessary support for the speedy redressal of the disputes.